Improvement of dissolved gas analysis (DGA) by means of experimental investigations of generated fault gases and a fuzzy logic based interpretation scheme

J. Aragón-Patil*, M. Fischer and S. Tenbohlen
Institute of Power Transmission and High Voltage Technology, Pfaffenwaldring 47, 70569 Stuttgart, Germany
*Email: Jackelyn.Aragon@ieh.uni-stuttgart.de

Abstract: Assessment of power transformer conditions and lifespan has acquired crucial significance in latest years. Dissolved Gas Analysis (DGA) has proved to be useful for diagnostic of incipient and potential faults in power transformers. The first part of this paper deals with an experimental investigation carried out to study relation between gas generation and partial discharge. In the second part, a fuzzy logic based interpretation method (FLI), which is founded on fuzzy set theory is described and implemented as an improved DGA interpretation method that provides higher reliability and precision of fault diagnostics.

1 INTRODUCTION

On account of the economic importance and ageing of power transformers there is an increasing concern to assess operating conditions of such expensive equipments. Transformer population is fast approaching the end of its lifespan [2], consequently inspections have gained significant importance in order to ensure diagnostic of incipient faults and implementation of necessary maintenance plans to prolong their lifespan.

Technology of oil filled power transformers has existed for about 100 years. Throughout, transformer oil and cellulose paper have been utilized as insulating materials in high voltage equipments [1]. In addition, mineral transformer oil has been mostly employed due to its good electrical behaviour, thermal stability and low price.

Transformer oil is prone to undergo irreversible changes in its chemical and dielectric properties due to ageing. Factors, such as temperature, humidity, oxygen, copper, electrical field and electrical discharges, may accelerate the ageing process [9]. Moreover, transformer oil may act as an information carrier whose condition may be related to condition of the power transformer.

Mineral transformer oil is basically a mix of hydrocarbon compounds that can decompose as a result of oxidation mechanisms favoured by oxygen, temperature and metals acting as catalysts [9]. These oxidation reactions induce breakdown of hydrocarbons into free radicals, which are highly reactive molecules that combined with oxygen form peroxide radicals in a chain of reactions [1].

Faults in power transformers occur due to electrical and thermal stresses. These faults can be differentiated for their energy, localization and occurrence period. Along with a fault, there are increased oil temperatures and generation of certain oxidation products such as acids and soluble gases [2].

Electrically induced ageing process may occur due to partial discharge (PD) phenomena. A PD is a highly localized discharge that may be precursor to a breakdown discharge within the insulation system [6].

The gaseous ageing products dissolved in transformer oil are: hydrogen, methane, ethane, ethylene, acetylene, propane, propene, together with carbon monoxide and carbon dioxide, nitrogen and oxygen.

These gases are considered as fault indicators and can be generated in certain patterns and amounts depending on the characteristics of the fault [2]. Low energy faults leads to formation of hydrogen and saturated hydrocarbon C 1 to C 2, and high energy faults tend to generate unsaturated hydrocarbons C 2+n containing double or triple bonds.

Hence, qualitative and quantitative determination of dissolved gases in transformer oil may be of great importance in order to assess fault condition and further operating reliability of power transformers.

2 FAULT GAS ANALYSIS

Through application of the well-known DGA technique, fault gases dissolved in oil can be determined and interpreted. This technique has been successfully employed for many decades [3] as a very effective and rather simple technique to diagnose incipient faults in power transformers.

State of the art online monitoring systems by DGA have become highly advantageous and suitable to detect any abnormal increase of gas concentrations due to any incipient or potential fault developing in a power transformer. Furthermore, continuous monitoring of dissolved gases has been advantageous to study the relationship between gas generation rate and fault.

2.1 Interpretation of DGA

Several DGA interpretation schemes have been proposed and applied for fault diagnostics [4]. Generally, these interpretation schemes are based on empirical assumptions and practical knowledge gathered by experts all over the world.

Nevertheless, it has been recommended to apply these interpretation schemes with certain precaution since they just provide insights of possible fault diagnostics, however they may also lead to uncertain fault identification [4]. In some cases, DGA
interpretation schemes may differ with respect to type and amount of identified faults. That fact is for sure in conflict to a reliable fault diagnostics.

Most of the interpretation schemes are generally based on defined principles such as, gas concentrations, key gases, key gas ratios, and graphical representations. Some of the more applied interpretation schemes are IEC 60599, Key Gas Analysis, Roger and Doernenberg Ratio Methods, Duval Method and Gas Nomograph Method. They are included into the IEEE Standard C57.104-1991.

Since 1990 CIGRE TF 15.01.01 [4] has been revising the different interpretation schemes in order to reconcile some deviations and discrepancies identified among these methods [4]. Hence, by gathering experts’ knowledge and incorporating some adjustments, CIGRE proposed a DGA interpretation method that has attempted to improve previous interpretation schemes with the purpose to contribute to more reliable fault diagnostics.

The CIGRE Interpretation (CI) scheme consists of a two-step evaluation based on key ratios of gas concentrations and key gas concentrations, both of them compared to thresholds [4]. Therefore, combination of these results indicates fault diagnostics and further necessary actions. For instance, the transformer might be most probably faulty and additional oil analysis is required.

3 GENERATION OF GASES BY PD-STRESSING

3.1 Test setup

The test setup (Fig. 1) was designed using a 12l glass tank with open conservator on top, electrode, bare-pressboard-plate and high voltage supply system.

The electrode configuration was used to generate partial discharge on the surface of pressboard. Real time monitoring of PD impulses and voltage supply was done by means of a software application that displays the corresponding phase resolved partial discharge pattern (PRPD).

The tank was filled with mineral oil SHELL DIALA DX under air saturation and room temperature conditions. Concentrations of dissolved gases were continuously analysed by means of a DGA online-monitoring system throughout conduction of the experiments. The measurement principle of the DGA-OM system is based on gas extraction by a vacuum pump and oil analysis by gas chromatography.

3.2 Experimental conduction

In order to generate a homogenous and stable PD, preliminary tests were necessary. Thus, the electrode configuration was verified, voltage supply was regulated and initial gas concentrations in oil were determined. Once achieved stable conditions, experiments were carried out throughout certain periods.

Fig. 1: Test setup for PD-stressing and dissolved gas analysis.

Experiments were performed with PD of 1000 pC. Continuous monitoring of PD-stressing was done by means of observation of displayed PRPD patterns obtained. Two different patterns of PD were identified as shown in Fig. 2 and 4.

Fig. 2: Phase resolved partial discharge (PRPD) #1.

Fig. 3: Variation of gas concentrations for PD #1.
Fig. 4: Phase resolved partial discharge (PRPD) #2.

![Image](https://via.placeholder.com/150)

Fig. 5: Variation of gas concentrations for PD #2.

![Image](https://via.placeholder.com/150)

Fig. 3 shows a significant increase in hydrogen concentration that reached its maximum of 160 ppm at about 50 hours of PD at a voltage of 10.8 kV. Other gas concentrations did not present significant variation. After the point of maximum concentration of hydrogen, concentrations did not present significant variation, therefore PD was stopped and the decrease of gas concentrations was monitored to verify their rate of diffusion.

In the case of PD #2 (Fig. 5) can be observed that hydrogen concentrations increased relatively faster to a maximum of 740 ppm within 70 hours of intense PD-stressing at a voltage of 18.4 kV. Among other significant gas concentrations are acetylene, ethylene, and methane. This case presented a strong PD stressing that concluded in a full discharge through the pressboard barrier after 110 hours. During PD stressing gas concentrations increased until a maximum and then started to decrease. After the discharge, monitoring of gases continued to verify their rate of diffusion.

4 DIAGNOSTIC METHOD BASED ON FUZZY INFERENCE SYSTEM (FIS)

The technical condition of a power transformer can be assessed by fault diagnostics based on DGA results. From the mathematical point of view, the problem of fault diagnostics turns out to be the quest for a function, \( \tilde{f}(\hat{m}) \), that maps measurements, \( \hat{m} \), to technical conditions, \( \hat{c} \). There are at least three fundamental modes for modelling the function \( \tilde{f}(\hat{m}) \):

1. If the physical relations between measurements and technical conditions can be mathematically described, then it is possible to find an analytical solution for \( \tilde{f}(\hat{m}) \).
2. If the physical relations between measurements and technical conditions cannot be described at all, but there is information available to describe \( \tilde{f}(\hat{m}) \) in a linguistic manner [7], then a solution based on a fuzzy inference system (FIS) is possible.
3. If the physical relations between measurements and technical conditions cannot be described at all, but data that describes \( \tilde{f}(\hat{m}) \) for some supporting points is available, then a neural network can be trained with these supporting points. The neural network can abstract from these supporting points and thereby make estimation of \( \tilde{f}(\hat{m}) \).

Mode 2 was selected to improve the Cigre interpretation (CI). The use of a Fuzzy Inference System (FIS) as a tool could already eliminate some deficiencies of CI on the basis of the following statements:

- CI describes two methods that use two key criteria for fault detection. The new approach uses two key criteria as well, but they have been well integrated into one single method.
- CI uses thresholds to decide whether a transformer is faulty or not. That can lead to wrong interpretation, especially in case of values close to the thresholds. This new approach eliminates thresholds and uses steady membership functions instead.
- CI attempt to define the type of fault that might be taking place. The new approach estimates the likelihood of fault occurrence for each possible fault type.

4.1 Basics of Fuzzy Inference System (FIS)

There are different classes of fuzzy logic but all of them are based on fuzzy set theory [10]. Fuzzy sets differ to binary sets in the number of feasible membership values. While for binary sets two membership values, \( \{0,1\} \), are defined, fuzzy sets additionally use pseudo-membership values, \( [0,1] \).

For the class of fuzzy logic that has been proposed by Mamdani or Sugeno, production rules map fuzzy sets to other fuzzy set. Mapping done with production rules is a pre-condition for qualitative modelling [8] by FIS.
Qualitative modelling with FIS (Fig. 6) is based on three main steps of mapping.

The first step is known as fuzzification. In this step a physical problem is transformed into a linguistic problem. For this purpose both the input vector and the output vector (Fig. 6-1) are mapped to linguistic variables (input variables and output variables, respectively, Fig. 6-3) by means of membership functions of type $\mu : \mathbb{R} \to [0,1]$.

Within the second step, called inference, production rules (Fig. 6-4) adjust the mapping of the output vector to the linguistic output variables based on the mapping of the input vector to the linguistic input variables. Each rule can conjunctively or disjunctively combine two or more premises (membership value of an input value to a linguistic input variable). Furthermore, production rules can be weighted depending on how reliable they are. Thus, the linguistic problem can be solved.

The last step is to perform defuzzification (Fig. 6-5). That means the already solved linguistic problem will be converted into a physical problem that is also solved. Mamdani and Sugeno type fuzzy logic differs fundamentally in steps ‘inference’ and ‘defuzzification’.

4.2 New fuzzy logic interpretation (FLI) based on FIS

One deficiency of CI that has already been mentioned ahead is the usage of two independent interpretation methods for fault detection. One of these methods depends on key gases, the other on key gas ratios.

By contrast, fuzzy logic interpretation (FLI) can incorporate both of those methods in a single method that can consider both criteria (key gas concentrations and key gas ratios) simultaneously for each fault type. Only, the fault ‘tank tap changer’ [4] depends on single criteria. The major outcome of this integration is an improved interpretation and therefore a more reliable fault diagnostics.

Fig. 6: Transformation by means of a FIS subdivided in main steps.

4.3 Fuzzification

FIS integrates two 1-dimensional domains from CI (key gas $\text{H}_2$ and key gas ratio $\text{H}_2/\text{CH}_4$) in one single 2-dimensional domain as represented in Fig. 9.

Fig. 7: Considered measurements per type of fault.

Starting from the gas concentrations obtained by gas-in-oil analysis, (Fig. 7), ratios of gas concentrations have to be derived from these gas concentrations. Gas concentrations and ratios of gas concentrations that are decisive for a particular type of fault are called key gases and key gas ratios, respectively. The Fig. 8 represents relevant key gases and key gas ratios to detect partial discharge.

Fig. 8: Relevant key gases and key gas ratios to detect partial discharge.

In the following, the zero-order Sugeno type FIS that is used in FLI is explained in more detail.

Partial discharge has been used as an example. The proceeding for other fault types is done in analogical way.
Instead of each threshold, \( t_i \), with \( i=1,2 \), the FIS places a pair of sigmoid membership functions in accordance to equation (1) and (2). \( b \) is set to \( t_i \), thus constraint \( \mu_{\text{big}}(x = t_i) = \mu_{\text{small}}(x = t_i) = 0.5 \) is fulfilled.

\[
\mu_{\text{big}}(x) = \frac{1}{1 + e^{-a(x-t)}} \quad a \in \mathbb{R} \\
\mu_{\text{small}}(x) = 1 - \frac{1}{1 + e^{-a(x-t)}} \quad a \in \mathbb{R}
\]  \hspace{1cm} (1)

Sigmoid membership functions are steady. This property is an inevitable pre-condition for a FIS.

For the image set ‘partial discharge’ FIS uses singleton membership functions accordant to equation (3). Each singleton represents one possible likelihood, \( c \), for partial discharge. Each production rule refers to a different likelihood.

\[
\mu_{\text{sing}}(x) = \begin{cases} 
1, & \text{if } x = c \\
0, & \text{else}
\end{cases}
\]  \hspace{1cm} (3)

Singletons are typical for zero-order Sugeno type fuzzy inference. They make defuzzification much easier and faster than in Mamdani type fuzzy inference.

Tab. 1: Membership functions and parameterization to detect PD.

<table>
<thead>
<tr>
<th>Value</th>
<th>Linguistic variable</th>
<th>Membership function</th>
<th>Parameterization</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \text{H}_2 )</td>
<td>Small</td>
<td>( \mu_{\text{small}}(a,b;\text{H}_2) )</td>
<td>((a,b) = (0.08,100))</td>
</tr>
<tr>
<td></td>
<td>Big</td>
<td>( \mu_{\text{big}}(a,b;\text{H}_2) )</td>
<td>((a,b) = (0.08,10))</td>
</tr>
<tr>
<td>( \text{H}_3 )</td>
<td>Small</td>
<td>( \mu_{\text{small}}(a,b;\text{H}_3;\text{CH}_4) )</td>
<td>((a,b) = (0.08,10))</td>
</tr>
<tr>
<td>( \text{CH}_4 )</td>
<td>Big</td>
<td>( \mu_{\text{big}}(a,b;\text{H}_3;\text{CH}_4) )</td>
<td>((a,b) = (0.08,10))</td>
</tr>
<tr>
<td>PD</td>
<td>Very unlikely</td>
<td>( \mu_{\text{sing}}(c;PD) )</td>
<td>( c = 0 )</td>
</tr>
<tr>
<td></td>
<td>Unlikely</td>
<td>( \mu_{\text{sing}}(c;PD) )</td>
<td>( c = 33 )</td>
</tr>
<tr>
<td></td>
<td>Likely</td>
<td>( \mu_{\text{sing}}(c;PD) )</td>
<td>( c = 66 )</td>
</tr>
<tr>
<td></td>
<td>Very likely</td>
<td>( \mu_{\text{sing}}(c;PD) )</td>
<td>( c = 100 )</td>
</tr>
</tbody>
</table>

Tab. 1 shows for each value linguistic variables and corresponding membership functions with their parameterization. The settings ‘0’ and ‘100’ for parameter c are a direct outcome of CI, but settings ‘33’ and ‘66’ are estimations. Estimations were done based on the significance of the production rule.

### 4.4 Inference

As Fig. 9 shows, the domain is subdivided into 4 areas by thresholds \( t_i \) and \( t_j \). Each area corresponds to a linguistic area as follows [11]:

1. \( \text{H}_3 < t_i \) \( \frac{\text{H}_3}{\text{CH}_4} < t_j \) \( \leftrightarrow \) \( \mu_{\text{small}}(\text{H}_3) > 0.5; \mu_{\text{small}}\left( \frac{\text{H}_3}{\text{CH}_4} \right) > 0.5 \)

2. \( \text{H}_3 < t_i \) \( \frac{\text{H}_3}{\text{CH}_4} \geq t_j \) \( \leftrightarrow \) \( \mu_{\text{small}}(\text{H}_3) > 0.5; \mu_{\text{small}}\left( \frac{\text{H}_3}{\text{CH}_4} \right) \geq 0.5 \)

3. \( \text{H}_3 \geq t_i \) \( \frac{\text{H}_3}{\text{CH}_4} < t_j \) \( \leftrightarrow \) \( \mu_{\text{small}}(\text{H}_3) > 0.5; \mu_{\text{small}}\left( \frac{\text{H}_3}{\text{CH}_4} \right) > 0.5 \)

4. \( \text{H}_3 \geq t_i \) \( \frac{\text{H}_3}{\text{CH}_4} \geq t_j \) \( \leftrightarrow \) \( \mu_{\text{small}}(\text{H}_3) > 0.5; \mu_{\text{small}}\left( \frac{\text{H}_3}{\text{CH}_4} \right) \geq 0.5 \)

For each linguistic area, one production rule that conjunctively combines \( \mu_{\text{H}_3}(\text{H}_3) \) and \( \mu_{\text{H}_3}(\text{H}_3) \) with \( i, j \in \{\text{small}, \text{big}\} \) in its premise is necessary. Membership functions can be regarded as probability density functions; hence it is reasonable to define the conjunctive combination as the multiplication in equation (4).

\[
w = \text{AND} \left( \mu_{\text{H}_3}(\text{H}_3), \mu_{\text{H}_3}(\text{H}_3) \right) = \mu_{\text{H}_3}(\text{H}_3) \cdot \mu_{\text{H}_3}(\text{H}_3) \]  \hspace{1cm} (4)

Implication by each production rule is done through scaling of the singleton membership function that it is referring to, like in equation (5).

\[
\mu_{\text{sing}}(PD) = w \cdot \mu_{\text{sing}}(PD)
\]  \hspace{1cm} (5)

Additional to the mathematical description of the production rules, the Tab. 2 shows all production rules in a verbal representation. The four production rules are weighted equally with 1/4.

Tab. 2: Production rules that are used to detect PD.

<table>
<thead>
<tr>
<th>Production rule</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>If ( \text{H}_3 ) is big and ( \frac{\text{H}_3}{\text{CH}_4} ) is big, then PD is very likely</td>
<td>1/4</td>
</tr>
<tr>
<td>If ( \text{H}_3 ) is big and ( \frac{\text{H}_3}{\text{CH}_4} ) is small, then PD is unlikely</td>
<td>1/4</td>
</tr>
<tr>
<td>If ( \text{H}_3 ) is small and ( \frac{\text{H}_3}{\text{CH}_4} ) is big, then PD is likely</td>
<td>1/4</td>
</tr>
<tr>
<td>If ( \text{H}_3 ) is small and ( \frac{\text{H}_3}{\text{CH}_4} ) is small, then PD is very unlikely</td>
<td>1/4</td>
</tr>
</tbody>
</table>

### 4.5 Defuzzification

The estimated likelihood of partial discharge in percent is the so-called weighted average as in equation (6).

\[
PD = \frac{\sum_{i=1}^{4} \mu_{\text{sing},i}(PD) \cdot w_i}{\sum_{i=1}^{4} w_i} \%
\]  \hspace{1cm} (6)

### 5 COMPARISON BETWEEN CI AND FLI

The in-house software TRAFACETO – Transformer Fault Detection Tool, has been developed
to implement the new fuzzy logic interpretation method (FLI). This software was applied to compare CI and FLI by means of the results obtained from above mentioned experimental measurements.

For application of this software maximum gas concentrations obtained from conduction of experiments of gas generation by PD-stressing (Fig. 3 and 5) were used as a measurement vector, see Tab. 3.

<table>
<thead>
<tr>
<th>Gases (ppm)</th>
<th>PD #1</th>
<th>PD #2</th>
</tr>
</thead>
<tbody>
<tr>
<td>CO</td>
<td>3.7</td>
<td>14</td>
</tr>
<tr>
<td>CO₂</td>
<td>410</td>
<td>434</td>
</tr>
<tr>
<td>H₂</td>
<td>152</td>
<td>847</td>
</tr>
<tr>
<td>CH₄</td>
<td>9.1</td>
<td>135</td>
</tr>
<tr>
<td>C₂H₆</td>
<td>2.3</td>
<td>439</td>
</tr>
<tr>
<td>CH₃</td>
<td>1.8</td>
<td>105</td>
</tr>
<tr>
<td>C₂H₂</td>
<td>3.3</td>
<td>202</td>
</tr>
<tr>
<td>C₂H₆</td>
<td>0.7</td>
<td>11</td>
</tr>
<tr>
<td>C₂H₄</td>
<td>1.6</td>
<td>33</td>
</tr>
</tbody>
</table>

Interpretation of DGA by CI resulted in the diagnostics of following faults: discharge (D), partial discharge (PD), overheating (O), tank tap changer (PT) and degradation of cellulose (DC). As one can see in Tab. 4, key gas and key gas ratio method from CI resulted in uncertain diagnostic of faults. For instance, in case of for PD #2, the key gas method identifies D and PD as faults, whereas key gas ratio method identifies D, O and DC as faults.

<table>
<thead>
<tr>
<th>Key gas method</th>
<th>Estimated faults</th>
<th>Key gas ratio method</th>
</tr>
</thead>
<tbody>
<tr>
<td>PD #1</td>
<td>PD #2</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td>Yes</td>
<td>D</td>
</tr>
<tr>
<td>No</td>
<td>No</td>
<td>O</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>PT</td>
</tr>
<tr>
<td>No</td>
<td>No</td>
<td>DC</td>
</tr>
</tbody>
</table>

By contrast, Tab. 5 shows the results of interpretation of DGA by FLI. In case of PD #1 the FLI estimated PD as the most likely fault. Nevertheless, 60% likelihood of DC suggests that the pressboard plate in between the bare-plate electrode system could have been affected. In case of PD #2 the FLI estimates D and O as most likely faults. That might be due occurrence of a strong PD and discharge. Furthermore, PD #1 suggested a likelihood of 60 % DC that may indicate effect of pressboard. The likelihood of 36.2% PD indicates the strong PD-stressing prior to the discharge.

The likelihood of 99.9% for overheating was inconsistent and therefore suggests that this model should be further improved. Future work will focus on that point.

6 SUMMARY

Gas generation due to partial discharges was investigated in a laboratory scale setup by means of an on-line monitoring system based on gas chromatography. Experimental results demonstrated that type and rate of gas generation depend on the intensity of PD stressing.

A new DGA interpretation method based on fuzzy logic (FLI) was developed using the well-known Cigre interpretation rules. This novel method attempts to overcome some deficiencies derived from conventional application of the Cigre interpretation (CI) method. Thus, by means of estimation of fault occurrence likelihood, it is possible to provide a more reliable as well as precise fault diagnostics in power transformers.

The comparison of conventional and fuzzy logic interpretation by means of results obtained from experimental measurements showed the advantage of the new method in terms of reliability of the DGA interpretation result. Ongoing experiments of gas generation by PD will contribute to enlarge analytical knowledge related to gas generation processes and provide additional rules for the new method.

7 REFERENCES